

THE SCULLING GROUP

The Financial Printing Alternative

Corporate Brochures
 Newsletters
 Direct Mail Inserts
 Meeting Invitations
 Letterhead & Envelopes
 Ad & Article Reprints
 Press Releases
 Corporate Folders

EDGAR FILING CALENDAR

2021 SEC FILING DEADLINES & HOLIDAYS

SEC DEADLINES

| PERIOD | END DATE | LARGE ACCELERATED | ACCELERATED | NON-ACCELERATED |
|---------------------------|----------|------------------------|------------------------|----------------------|
| 10-K | 12/31 | Monday, March 1 | Tuesday, March 16 | Wednesday, March 31 |
| NT 10-K | 12/31 | Tuesday, March 2 | Wednesday, March 17 | Thursday, April 1 |
| Late 10-K | 12/31 | Tuesday, March 16 | Wednesday, March 31 | Thursday, April 15 |
| Proxy Statement or 10-K/A | | Friday, April 30 | Friday, April 30 | Friday, April 30 |
| 10-Q | 3/31 | Monday, May 10 | Monday, May 10 | Monday, May 17 |
| NT 10-Q | 3/31 | Tuesday, May 11 | Tuesday, May 11 | Tuesday, May 18 |
| Late 10-Q | 3/31 | Monday, May 17 | Monday, May 17 | Monday, May 24 |
| 10-Q | 6/30 | Monday August 9 | Monday August 9 | Monday, August 16 |
| NT 10-Q | 6/30 | Tuesday, August 10 | Tuesday, August 10 | Tuesday, August 17 |
| Late 10-Q | 6/30 | Monday, August 16 | Monday, August 16 | Monday, August 23 |
| 10-Q | 9/30 | Tuesday, November 9 | Tuesday, November 9 | Monday, November 15 |
| NT 10-Q | 9/30 | Wednesday, November 10 | Wednesday, November 10 | Tuesday, November 16 |
| Late 10-Q | 9/30 | Tuesday, November 16 | Tuesday, November 16 | Monday, November 22 |

INVESTMENT MANAGER DEADLINES

| DATE | FILING |
|---------------------|--------|
| Monday, February 15 | 13-F |
| Monday, May 17 | 13-F |
| Monday, August 16 | 13-F |
| Monday, November 15 | 13-F |

PEAK FILING DATES 2021

| MONTH | DATES |
|-----------|--|
| January | 4, 5, 7, 8, 28, 29 |
| February | 4, 5, 8, 9, 12, 16, 26 |
| March | 1, 2, 4, 5, 8, 9, 10, 11, 12, 15, 16, 26, 29, 30, 31 |
| April | 1, 2, 6, 7, 28, 29, 30 |
| May | 6, 7, 10, 14, 17, 19, 20, 21, 28 |
| June | 1, 2, 3, 4, 7, 8, 9, 11, 14, 28, 29, 30 |
| July | 1, 2, 7, 8, 29, 30 |
| August | 5, 6, 9, 13, 16, 27, 30, 31 |
| September | 1, 2, 3, 7, 8, 10, 13, 27, 28, 29 |
| October | 1, 4, 6, 7, 29 |
| November | 1, 4, 5, 8, 9, 12, 15, 26, 29, 30 |
| December | 1, 3, 6, 7, 8, 9, 13, 14, 28, 29, 30 |

SEC FILING HOLIDAYS

| DATE | HOLIDAY |
|-----------------------|-----------------------------|
| Friday, January 1 | New Years Day |
| Monday, January 18 | Martin Luther King, Jr. Day |
| Monday, February 15 | Presidents Day |
| Monday, May 31 | Memorial Day |
| Monday, July 5 | Independence Day (observed) |
| Monday, September 6 | Labor Day |
| Monday, October 11 | Columbus Day |
| Thursday, November 11 | Veterans Day |
| Thursday, November 25 | Thanksgiving |
| Friday, December 24 | Christmas (observed) |

All dates assume 12/31 fiscal year end.
 All deadlines and deadline extensions shown in calendar days.

SEC DEADLINE GUIDANCE

| | LARGE ACCELERATED | ACCELERATED | NON-ACCELERATED |
|---------|--------------------------|--------------------------|--------------------------|
| 10-K | 60 days from year end | 75 days from year end | 90 days from year end |
| NT 10-K | 15 day extension | 15 day extension | 15 day extension |
| 10-Q | 40 days from quarter end | 40 days from quarter end | 45 days from quarter end |
| NT 10-Q | 5 day extension | 5 day extension | 5 day extension |

www.scullinggroup.com | The Scullin Group is Always On-Call for Your Last Minute Deadlines.

2005 Market Street, Suite 3120 | Philadelphia, PA 19103 | PH: 215.640.3330 FAX: 215.567.2595